



Paediatric Clinical Research Infrastructure Network

Procedures for the setup of neonatal trials

Neonatal trials and data collection by age groups: Points to consider

V 1.0, 22 March 2021

Description	This tool provides a checklist of age-related data to be collected for neonatal studies
Key words	Neonatal trial, Protocol development, Guidance document, Tool, Age groups, Data collection

Authors: Beate Aurich, Valéry Elie,
Naura Mahmoudi, Evelyne Jacqz-Aigrain



Disclaimer: Sponsors and researchers unfamiliar with clinical trials in neonates and/or neonatology are advised to seek expert advice due the complexity of neonatology.

Correspondence email: pedcrin@ecrin.org



PedCRIN has received funding from the European Union's Horizon 2020 programmer under grant agreement number 731046

Introduction

In neonatal clinical trials age reflects changes in pharmacokinetics and pharmacodynamics and factors modifying efficacy and safety.¹⁻³ For example a neonate born at 24 weeks gestation does not have the same metabolic capacities as one born at 39 weeks.²⁻⁴ Similarly, developmental maturation and with it, reference values for laboratory parameters and vital signs change rapidly in neonates.^{3,5} Data collection and analysis needs to take all these factors into account.

Data collection by age group in neonatal trials: Points to consider

A minimum of data should be collected in all neonatal trials including the following three dates :

- Date of the first day of last menstrual period or estimated date of delivery based on foetal ultrasound
- Date of birth
- Date and, where appropriate, time (hour and minutes) at study time points (e.g. administration of a study drug, investigations, developmental assessment)

It is recommended to enter exact dates in order to capture age in days, because this will allow the correct calculation of postmenstrual and corrected age in weeks and days ([Table 1](#)).

Conclusions

In conclusion, in neonatal clinical trials age is a proxy for maturity and of covariates influencing morbidity and mortality. It is therefore important to exact dates are captured for data points relating to neonatal age and the protocol should clearly state how gestational age is determined. This will facilitate stratified data analysis by age group. Existing standard age categories should be used to facilitate comparison with published data and future meta-analyses. However, additional age groups may be considered based on the study population and trial objectives.

Competing interests

All authors consider not having any competing interests for this tool. BA has worked for GlaxoSmithKline between October 2006 and September 2009 and holds company shares. Between October 2009 and May 2015 she has worked for Novartis.



References

1. Purisch SE, Gyamfi-Bannerman C. Epidemiology of preterm birth. *Semin Perinatol*. 2017 Nov;41(7):387-391. doi: 10.1053/j.semperi.2017.07.009.
2. Manuck TA, Rice MM, Bailit JL, Grobman WA, Reddy UM, Wapner RJ, et al. Preterm neonatal morbidity and mortality by gestational age: a contemporary cohort. *Am J Obstet Gynecol*. 2016 Jul;215(1):103.e1-103.e14. doi: 10.1016/j.ajog.2016.01.004.
3. Kearns GL, Abdel-Rahman SM, Alander SW, Blowey DL, Leeder JS, Kauffman RE. Developmental pharmacology--drug disposition, action, and therapy in infants and children. *N Engl J Med*. 2003 Sep 18;349(12):1157-67.
4. Williams K, Thomson D, Seto I, Contopoulos-Ioannidis DG, Ioannidis JP, Curtis S, et al. Standard 6: age groups for pediatric trials. *Pediatrics*. 2012 Jun;129 Suppl 3:S153-60. doi: 10.1542/peds.2012-00551.
5. Coulthard MG. Maturation of glomerular filtration in preterm and mature babies. *Early Hum Dev*. 1985 Sep;11(3-4):281-92.



Table 1. PedCRIN Tool - Data collection in neonatal trials: Check list*

Data items	Included in the protocol/ Case Report Form (CRF)	
Date of first day of last menstrual period		
	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	Not applicable	<input type="checkbox"/>
Date of birth		
	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	Not applicable	<input type="checkbox"/>
Date at study time points		
<i>Date at inclusion</i>	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	Not applicable	<input type="checkbox"/>
<i>Date of start of study drug treatment</i>	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	Not applicable	<input type="checkbox"/>
<i>Date of completion of study drug treatment</i>	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	Not applicable	<input type="checkbox"/>
<i>Date of pharmacokinetic sampling</i>	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	Not applicable	<input type="checkbox"/>
<i>Date at occurrence of adverse events</i>	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	Not applicable	<input type="checkbox"/>
<i>Date of outcome assessment</i>	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	Not applicable	<input type="checkbox"/>
<i>Date of end of follow-up assessment</i>	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	Not applicable	<input type="checkbox"/>
<i>Date of discharge/transfer from hospital</i>	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	Not applicable	<input type="checkbox"/>

* Not exhaustive